

12-14-07

PART B - FEE(S) TRANSMITTAL

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20985

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09/25/2007

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Stephanie Seidman	(Depositor's name)
	(Signature)
12/12/2007	(Date)

FISH & RICHARDSON P.C.**P.O. Box 1022****Minneapolis, MN 55440-1022**

12/14/2007 EAYALEW2 00000068 09566625

01 FC:1501

1440.00 OP

02 FC:8001

42.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/586,625	06/02/2000	Carlos F. Barbas, III	17083-003002 / 1227B	6568

TITLE OF INVENTION: **LIGAND ACTIVATED TRANSCRIPTIONAL REGULATOR PROTEINS**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400-\$1440	\$0	\$1400 \$1440	12/26/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
SHAFER, SHULAMITH H.	1647	530-350000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. **Fish & Richardson P.C.**2. **Stephanie Seidman**

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

**The Scripps Research Institute
AND
Novartis AG**

(B) RESIDENCE (CITY and STATE OR COUNTRY)

La Jolla, California**Basel, Switzerland**Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.2.7.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.2.7.(g)(2).

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(Date) **December 12, 2007**Typed or Printed Name **Stephanie Seidman**Registration No. 33,779

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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SUBSTITUTE PTOL-85 (Rev. 12/04) Approved for use through 04/30/2007.

OMB 0651-0033

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Carlos F. Barbas III et al. Art Unit : 1647
Serial No. : 09/586,625 Examiner : Shulamith H. Shafer
Filed : June 2, 2000 Conf. No. : 6568
Cust. No. : 20985
Title : LIGAND ACTIVATED TRANSCRIPTIONAL REGULATOR PROTEINS

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TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are an Issue Fee Transmittal Form PTOL-85 (1 page in duplicate), a check in the amount of \$1482.00 for the Issue Fee and 14 advanced copies, and a return postcard for filing in connection with the above-identified application.

☒ The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

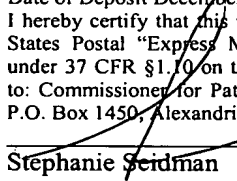

Stephanie L. Seidman
Reg. No. 33,779

Attorney Docket No. 17083-003002/1227B

Address all correspondence to:

Stephanie L. Seidman
Fish & Richardson P.C.
12390 El Camino Real
San Diego, California 92130
Telephone: (858) 678-5070
Facsimile: (202) 626-7796
email: seidman@fr.com

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Stephanie L. Seidman